

Retirement Solutions for Registered Investment Advisors



A partner you can trust

At Ascensus, we specialize in connecting people to the right plan. We work hard every day so millions of people can save for a secure retirement. We channel our vast experience, specialized knowledge, and service capabilities to ensure our clients and savers can be confident they're taking the right steps to prepare for the future.



35+ years
of industry experience



\$261.5+ billion
in assets under administration¹



Advisers' Choice
winner of the PLANADVISER Adviser Choice Award with top scores in Fee Clarity, Accounting, Disclosure, and Benchmarking²



105,600+
retirement plans¹

Ultimate transparency, exceptional value

Transparent retirement plan pricing doesn't have to be an oxymoron. At Ascensus, the only thing surprising about our pricing is its simplicity.

Annual base and per participant fees³

Participants ⁴	Full Service Fee	Unbundled Fee
1-20	\$4,250 ⁵	\$3,100 ⁵
21-100	\$4,250 plus \$75 for each participant over 20	\$3,100 plus \$75 for each participant over 20
100+	\$10,250 plus \$65 for each participant over 100	\$9,100 plus \$65 for each participant over 100

Complimentary fee benchmarking

We're so confident in our pricing, we provide your clients with the complete picture. To demonstrate the value they receive with our services, Ascensus offers the objective assessment of Fiduciary Benchmarks, Inc. (FBI), an independent, third-party leader in plan benchmarking technologies. A complimentary FBI benchmarking report will be sent to your clients after the plan's one-year anniversary to compare their plan to similar retirement plans.

The FBI benchmarking report includes a comparative analysis of:

- Plan fees
- Plan design features
- Employee success measures
- Employer and employee services

With all of the objective facts broken out in a comprehensive report, your clients can rest assured that Ascensus' retirement plan is the right choice for their business and employees.

¹ As of September 30, 2019.

² PLANADVISER. "2019 Retirement Plan Adviser Survey," October 2019, <https://www.planadviser.com/research/2019-planadviser-retirement-plan-adviser-survey/>.

³ Assumes investment options are chosen from eligible fund list. Please contact an Ascensus regional vice president or internal sales consultant for a list of available funds.

⁴ Participant is defined as any individual with a balance in the plan whether active, inactive, or terminated.

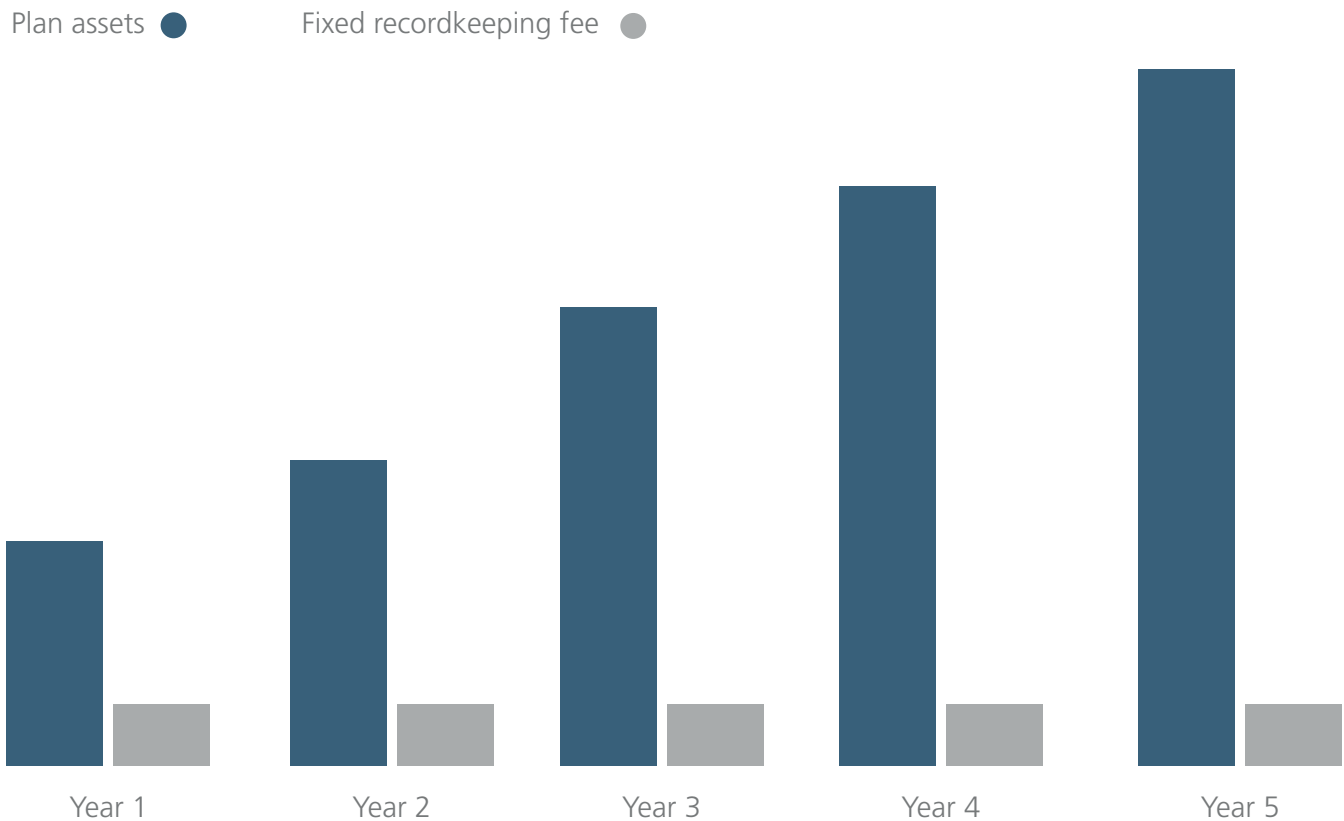
⁵ Minimum annual fee regardless of total participants.

Our pricing philosophy

As one of the retirement industry's largest independent providers, our recordkeeping and plan administration services are not merely a means to some other end—such as selling funds.

With our conflict-free philosophy, there are no proprietary investment requirements, hidden revenue-sharing agreements, or conversion requirements. Plus, assets in the plan have no effect on our recordkeeping fees.

The illustration below demonstrates how our flat-dollar approach offers exceptional value for cost.



As plan assets grow, our fees remain the same and actually decrease as an overall percentage of assets. Our fixed recordkeeping fees only increase if your clients have more participants join the plan.

Because our business is helping you grow yours

To learn more or to request a proposal, contact our retirement plan specialists.

800-345-6363

retirementsolutions@ascensus.com

alwayshaveaplan.com



Fiduciary Benchmarks Insights is the industry leader for independent, comprehensive, informative and cost-effective benchmarking services for the defined contribution space. Founded in 2007, the company leverages a patented method and technology to work with many of the industry's largest and most respected Recordkeepers, Broker/Dealers, Advisor/ Consultants and Plan Sponsors. Learn more at www.fiduciarybenchmarks.com.

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