Retirement Solutions for Registered Investment Advisors

ascensus®

A partner you can trust

At Ascensus, our independence means you have the choice, flexibility, and freedom to build the right plan for every client. With the ability to select only the services they need, unrestricted investment choices, and transparent, predictable pricing, your clients can feel confident in their retirement plan.

40+ years
of industry experience

$404.2+ billion
in assets under administration[1]

113,800+
retirement plans[1]

Ultimate transparency, exceptional value

We offer predictable, simple, and transparent pricing that is never tied to the plan's assets or investments.

Annual base and per participant fees[2]		
Participants[3]	**Full Service Fee**	**Unbundled Fee**
1-20	$4,250[4]	$3,100[4]
21-100	$4,250 plus $75 for each participant over 20	$3,100 plus $75 for each participant over 20
100+	$10,250 plus $65 for each participant over 100	$9,100 plus $65 for each participant over 100

Complimentary fee benchmarking

We're so confident in our pricing, we provide your clients with the complete picture. To demonstrate the value of our services, Ascensus offers the objective assessment of Fiduciary Decisions (FDI), an independent, third-party leader in plan benchmarking technologies. A complimentary FDI benchmarking report will be sent to your clients after the plan's one-year anniversary to compare their plan to similar retirement plans.

The FDI benchmarking report includes a comparative analysis of:

- Plan fees
- Plan design features
- Employee success measures
- Employer and employee services

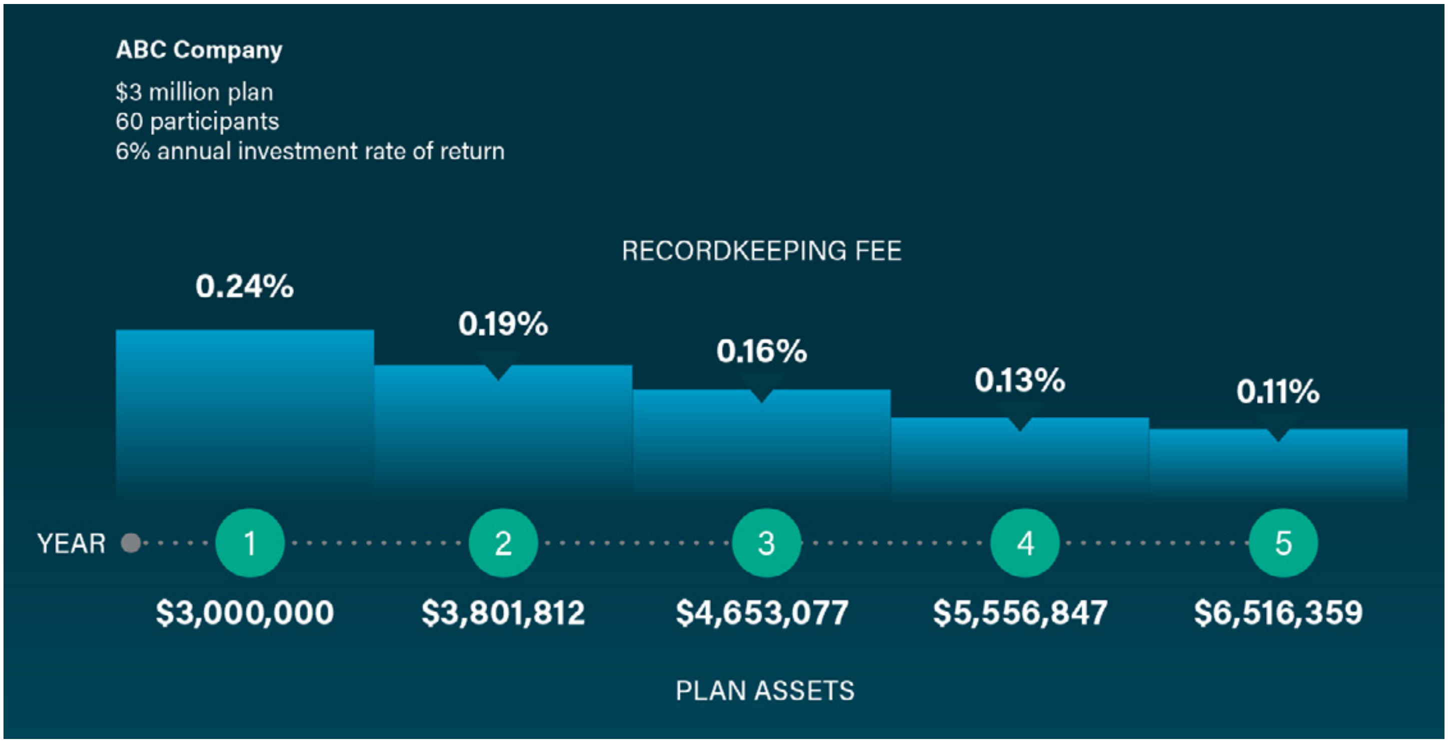
With all of the objective facts broken out in a comprehensive report, your clients can rest assured that Ascensus' retirement plan is the right choice for their business and employees.

[1] As of June 30, 2021.
[2] Assumes investment options are chosen from eligible fund list. Please contact an Ascensus regional vice president or internal sales consultant for a list of available funds.
[3] Participant is defined as any individual with a balance in the plan whether active, inactive, or terminated.
[4] Minimum annual fee regardless of total participants.

Our pricing philosophy

Per-participant, flat-fee pricing means no variability and no surprises. Your clients will know how much they're paying, and what they're getting in return.

The illustration* below demonstrates how our flat-dollar approach offers exceptional value for cost.



As plan assets grow, our fees remain the same and actually decrease as an overall percentage of assets. Recordkeeping fees only increase if more participants join the plan.

*Example is hypothetical and assumes that allowable fee increases were not executed during the five-year period.

Because our business is helping you grow yours

To learn more or to request a proposal, contact our retirement plan specialists.

800-345-6363

retirementsolutions@ascensus.com

alwayshaveaplan.com

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Fiduciary Benchmarks Insights, LLC dba Fiduciary Decisions is the industry leader for independent, comprehensive, informative and cost-effective benchmarking services for the defined contribution space. Founded in 2007, the company leverages a patented method and technology to work with many of the industry's largest and most respected Recordkeepers, Broker/Dealers, Advisor/Consultants and Plan Sponsors. Learn more at http://fiduciarydecisions.com/.