

Ascensus Partner Solutions

Unlock value with a proven leader

To stay competitive and profitable in the retirement plan space, many institutional plan providers are taking a hard look at their retirement plan business model—front and middle to back-end—and are choosing partnership to achieve operational scale, unlock new sources of revenue, and maximize business value.

Ascensus doesn't just help institutional retirement plan providers streamline their recordkeeping and other administration responsibilities. We provide the vision, optionality, and path forward to help strengthen, shape, and transform the future of your business.

STRATEGIC PARTNERSHIP—DRIVING OUTCOMES AND REVENUE

Transform your business model for real impact to your bottom line while protecting your brand.



Free up capital to reinvest, and gain time to refocus on core strengths and value drivers



Retain your business with minimum attrition during migration



Deliver industry-leading retirement plan solutions, expertise, and service



1 — Eliminate manual intervention to save time and
2 —
3 — boost accuracy with straight-through processing



Enhance plan sponsor and participant experiences to drive behavior and deliver better outcomes



Quickly expand the reach of your solutions through an open-architecture platform and nationwide distribution network



Measure, visualize, and improve the client journey—and help boost sales and retention—with predictive and data analytics as well as next-gen AI



Accelerate digital transformation through tech-forward platform integration—saving the cost, time, and risk of updating your existing tech platform

ASCENSUS—NOW MORE THAN EVER

Whether you want to modernize critical services and plan administration functions that aren't core to your business, fill a product or service gap, or do more to drive outcomes, you're in a great position to compete and win when you partner with an expert who offers a range of flexible solutions.

Why? Because the right strategic growth partnership turns complex operational challenges into smarter, more streamlined processes and new opportunities. Partnerships can provide access to hard-to-source expertise and advanced technologies that together deliver more powerful and cost-effective experiences.

And with more than 55 platform migrations under our belt, embarking upon transformative change is achieved with executive engagement, governance, and communication so your stakeholders move to a better experience with minimal disruption to your business.



**A comprehensive, flexible suite of services
built for institutional partners like you**

- Administration for any plan type in any plan market with a scalable and highly configurable recordkeeping technology platform
- Independent business model means we don't rely on the sale of downstream products to be profitable
- A proven, rapid, precise migration process for the transition of your business
- Data management, analytics, and strategic insights for a more personalized plan offering and participant outcomes
- Branded plan sponsor and participant web and mobile applications and advisor tools
- World-class NPS service and support³

ASCENSUS BY THE NUMBERS*

Ascensus is the largest independent recordkeeping services provider,¹ retirement plan third-party administrator, and government savings facilitator² in the United States. For more than 20 years, institutional retirement plan providers have trusted us to steward their brands and help them achieve their business objectives.



40+

Years of experience



5,100+

Dedicated associates



260,000+

Retirement plans under administration



\$862+ billion

Total assets under administration



80+

Private-label, co-branded, and other partners

*Data as of March 31, 2025.

¹Cerulli Associates. The Cerulli Report: U.S. Retirement Markets 2024. December 2024.

²ISS MI 529 Quarterly Update Q1 2025 & Georgetown University Center for Retirement Initiatives, State Program Performance Data as of January 31, 2025.

³Ascensus Net Promoter Score® for Overall Client Satisfaction. March 31, 2025.

SOLUTIONS FOR EVERY PLAN TYPE AND MARKET SEGMENT

3(16) Administrative Fiduciary Services



MEETING YOU WHERE YOU ARE

Ascensus stands apart because our focus isn't on selling solutions; it's on partnering with you to meet your business goals. This powerful alignment can prove highly successful in a variety of flexible models, including:

Selective strategic growth partnership

Delegate the back-office activities clients rarely see so you can focus on core activities that provide the most value to your clients and your business.

End-to-end strategic growth partnership

Fully offload plan recordkeeping and administration, as well as customer support services, so you can expand your business model and unlock new sources of revenue to accelerate growth.

Divestiture/acquisition

In some scenarios, your best path forward may be monetizing your recordkeeping business so you can deploy crucial capital elsewhere in your organization and advance your larger business goals.



HOW ASCENSUS POWERS SAVINGS PLANS ACROSS AMERICA

For more than 40 years, we've helped nearly 16 million savers and the advisors and institutions who serve them through our unique approach:

Alignment with you

We sit on the same side of the table, focused on your success.

Intuitive experience

Whether you are a saver or business owner, advisor, institution, or partner enabling savings, we make managing the full lifecycle of the savings journey frictionless.

Technology-fueled service

We blend technology with human expertise, so you feel confident and secure.

TRUST A PARTNER WHO LIVES ITS MISSION AND VALUES EVERY DAY AND PROTECTS YOUR BRAND

Our core values—People Matter. Quality First. Integrity Always.®—are fundamental to how we build relationships with our partners. Those we serve are always at the center of everything we do. Rest assured, we never compromise our approach or integrity as we collaborate to deliver better outcomes and innovative solutions for you, your clients, and their savers.

We'd like to hear more about what success means to you and how we can help you get there. To start that game-changing conversation, please visit:

www.ascensus.com/who-we-serve/institutional-partners or email ted.samsel@ascensus.com.