**From:** Cetera Retire Client Notifications
**To:** EMailProofs
**Subject:** Visit the migration website for a seamless transition
**Date:** Tuesday, September 15, 2026 8:14:28 AM

View this email as a web page

Cetera®

# Review payroll updates, key deadlines, and more

Plan Name: ABC Fastener Group, Inc. 401(k) Profit Sharing Plan

As part of your Avantax Retirement Plan Services (RPS) plan now becoming Cetera Retire and upgrading to the Ascensus recordkeeping technology, make sure to visit your plan migration website for all the information you need for a seamless transition.

**Review the updated FAQs page on the migration website**

The FAQs page has important updates, including:

- **Deadlines** for contributions, distributions, and loan approvals.
- **Payroll submission information**, including available integrations and deadlines for the last payroll submission on the Avantax Retirement Plan Services (RPS) platform.
- **Participant experience** updates for online beneficiaries, auto rebalancing, READY**SAVE**® mobile app, and more.

**View the new FAQs**

**Agreements update**

With one or more of the plan's required signers not fully executing the agreements that were emailed to you in July, you will receive a hard copy of the agreements sent to your address on record at the end of Sept. or

beginning of Oct. Unless you contact us to indicate otherwise, we will understand that all parties signed and agreed to the terms of the agreements but did not return copies. The plan will be serviced based on the terms and provisions of those agreements and documents as of their effective date.

**Important upcoming Avantax website accessibility dates**

As we prepare to move recordkeeping platforms from the current Avantax RPS platform to the new Cetera Retire platform, make note of the important dates when functionality will be turned off on your current website.

- **Oct. 14 by 1 p.m. ET:** Participants will no longer have access to request:
  - a distribution
  - an investment election or deferral rate change
  - a transaction, including exchanges
- **Oct. 14 by 1 p.m. ET:** You will no longer have access to submit payroll files.
- **Oct. 15 by 2 p.m. ET:** You will no longer have access to the Avantax RPS website.
- **Week of Oct. 19:** You will receive an email once your plan has moved to the Cetera Retire platform with your new website URL and instructions.

Please contact your relationship manager if you have questions.

**For plan sponsor use only**

Cetera Financial Group (Cetera) is a network of independent retail firms, including those that are members of FINRA/SIPC: Cetera Advisors LLC; Cetera Wealth Services, LLC (formerly known as Cetera Advisor Networks); Cetera Investment Services LLC (marketed as Cetera Financial Institutions or Cetera Investors); and Cetera Financial Specialists LLC. Entities registered as investment advisers with the Securities and Exchange Commission include Cetera Investment Management LLC and Cetera Investment Advisers LLC. Cetera's principal office is located at 655 W. Broadway, 11th Floor, San Diego, CA 92101. Avantax Planning Partners, Inc. is an SEC registered investment adviser within the Aretec Group, Inc. (dba Cetera Holdings, an affiliate of Cetera).

Cetera® Retirement Plan Specialists may provide third–party administrative services (TPA) to clients of financial advisors who are affiliated with its family of broker–dealers and registered investment advisers. Cetera Retirement Plan Specialists is a part of Cetera Financial Group®. Cetera Retirement Plan Specialists and its related entities operate independently and there is no requirement for retirement plan clients of Cetera Financial Group firms to engage with Cetera Retirement Plan Specialists.

Individuals affiliated with Cetera Financial Group firms are either Registered Representatives who offer only brokerage services and receive transaction-based compensation (commissions), Investment Adviser Representatives who offer only investment advisory services and receive fees based on assets, or both

Registered Representatives and Investment Advisor Representatives, who can offer both types of services.

NEITHER CETERA NOR ANY OF ITS REPRESENTATIVES MAY GIVE LEGAL OR TAX ADVICE. In addition, neither Cetera Investment Advisers LLC, nor its Investment Adviser Representatives provide tax or legal advice. For tax/legal advice, please consult your tax/legal professional.

Ascensus is a third party providing administrative and record keeping services. They are not a broker–dealer or an investment advisor and do not provide tax, legal, or accounting services.

Copyright © 2026. All rights reserved